

AXIS/CO/CS/467/2020-21

8th January 2021

Shri Kautuk Upadhyay
The Chief Manager,
Listing & Compliance Department
National Stock Exchange of India Limited
Exchange Plaza, 5th Floor
Plot No. C/1, "G" Block
Bandra-Kurla Complex
Bandra (E), Mumbai – 400 051

Shri Khushro Bulsara
The Senior General Manager –
Listing Department
BSE Limited
1st Floor, New Trading Ring, Rotunda
Building
P. J. Towers, Dalal Street
Fort, Mumbai – 400 001

NSE Symbol: AXISBANK

BSE Scrip Code : 532215

Dear Sirs,

SUB: COMPLIANCE REPORT ON CORPORATE GOVERNANCE UNDER REGULATION 27(2) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 ("LISTING REGULATIONS") FOR THE QUARTER ENDED 31ST DECEMBER 2020 - AXIS BANK LIMITED

We are pleased to enclose a copy of the Compliance Report on Corporate Governance for the quarter ended 31st December, 2020, pursuant to regulation 27(2) of SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 ("Listing Regulations").

You are requested to take the above on record.

Yours faithfully,
For Axis Bank Limited

Girish V. Koliyote
Company Secretary

Encl.: As above

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General information about company

Scrip code	532215
NSE Symbol	AXISBANK
MSEI Symbol	NOTLISTED
ISIN	INE238A01034
Name of the entity	AXIS BANK LIMITED
Date of start of financial year	01-04-2020
Date of end of financial year	31-03-2021
Reporting Quarter	Quarterly
Date of Report	31-12-2020
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 100 listed entities

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Annexure 1

Annexure 1 to be submitted by listed entity on quarterly basis

1. Composition of Board of Directors

Disclosure of nature and composition of board of directors (applicable)		Annexure 1																		
Whether the listed entity has a Regular Chairperson		Yes																		
Whether Chairperson is related to CEO or CFO		No																		
Sr	Typical No. / No.	Name of the Director	PAN	DOB	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed (Under Reg. 17(2)(c) of Listing Regulation)	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Term of office (in month)	No. of Directorship in listed entities including the listed entity (Under Regulation 17A of Listing Regulation)	No. of Independent Directorship in listed entities including the listed entity (Under Regulation 17B of Listing Regulation)	Number of memberships in Audit, Stakeholder Committee (if including the listed entity) (Under Regulation 17C of Listing Regulation)	No. of posts of Chairperson in listed entities including the listed entity (Under Regulation 17D of Listing Regulation)	Notes for not providing PAN	Notes for not providing DOB
1	Mr.	Rajesh Mahesh	AB2942890	09/12/1959	Non-Executive - Independent Director	Chairman		24/02/1959	No		17/05/2015	17/05/2015		3	1	0	0			
2	Mr.	Arvind Chaudhary	AB2942890	09/12/1959	Executive Director	Chairman	CEO	22/07/1964	No		18/06/2015	18/06/2015		3	1	0	0			
3	Mr.	Rishabh Sharma	AB2942890	09/12/1959	Non-Executive - Independent Director	Chairman		22/04/1964	No		16/06/2015	16/06/2015		3	1	0	0			
4	Mr.	A. Vigneshwaran	AB2942890	09/12/1959	Non-Executive - Independent Director	Chairman		30/04/1964	No		14/05/2015	14/05/2015		3	1	0	0			
5	Mr.	Sandeep Shrivastav	AB2942890	09/12/1959	Non-Executive - Independent Director	Chairman		24/01/1964	No		19/05/2015	19/05/2015		3	1	0	0			
6	Mr.	B. Babu Rao	AB2942890	09/12/1959	Non-Executive - Non-Independent Director	Chairman		05/10/1958	No		19/05/2015	19/05/2015		3	1	0	0			
7	Mr.	Prabhakar Padmanabhan	AB2942890	09/12/1959	Non-Executive - Non-Independent Director	Chairman		14/01/1952	No		19/12/2013	19/12/2013		3	1	0	0			852
8	Mr.	Vishal Paragpal	AB2942890	09/12/1959	Non-Executive - Independent Director	Chairman		20/03/1958	No		01/11/2014	01/11/2014		3	1	0	0			
9	Mr.	Dr. Jayant Choudhary (Formerly Sunil Jayant Choudhary)	AB2942890	09/12/1959	Non-Executive - Independent Director	Chairman		01/08/1963	No		01/01/2015	01/01/2015		3	1	0	0			
10	Mr.	Manish Garg	AB2942890	09/12/1959	Non-Executive - Independent Director	Chairman		31/08/1963	No		01/08/2014	01/08/2014		3	1	0	0			
11	Mr.	Govindarajan Prabhakar	AB2942890	09/12/1959	Non-Executive - Non-Independent Director	Chairman		29/06/1952	No		28/10/2010	28/10/2010		3	1	0	0			854
12	Mr.	Arvind Anandhi	AB2942890	09/12/1959	Non-Executive - Non-Independent Director	Chairman		14/01/1976	No		01/11/2015	01/11/2015		3	1	0	0			
13	Mr.	Ravi Kumar	AB2942890	09/12/1959	Executive Director	Chairman		09/01/1964	No		06/08/2014	06/08/2014		3	1	0	0			
14	Mr.	Ramesh Kumar	AB2942890	09/12/1959	Executive Director	Chairman		22/08/1967	No		06/08/2014	06/08/2014		3	1	0	0			

Annexure 1

II. Composition of Committees

Disclosure of notes on composition of committees explanatory

Add Notes

For this quarter kindly note the following points:

1. Date of Appointment and Date of Cessation (if applicable) must be mandatorily filled for every Committee.
2. Date of Appointment can be any day upto September 30, 2020.
3. Date of Cessation must be for the current quarter only, i.e. July 1, 2020 to September 30, 2020

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson				Yes			
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02172725	Girsh Paranjape	Non-Executive - Independent Director	Chairperson	30-01-2019		
2	02255828	S. Vishvanathan	Non-Executive - Independent Director	Member	11-02-2015		
3	00425793	B. Babu Rao	Non-Executive - Nominee Director	Member	17-10-2017		
4	00117692	Rakesh Mahija	Non-Executive - Independent Director	Member	19-01-2016		
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9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson				Yes			
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02968574	Rohit Bhaagt	Non-Executive - Independent Director	Chairperson	24-07-2015		
2	07995547	Stephen Pagliuca	Non-Executive - Nominee Director	Member	20-10-2018	01-11-2020	
3	00117692	Rakesh Mahija	Non-Executive - Independent Director	Member	12-10-2016		
4	02172725	Girsh Paranjape	Non-Executive - Independent Director	Member	25-08-2020		
5	00528252	Meena Ganesh	Non-Executive - Independent Director	Member	25-08-2020		
6							
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson				Yes			
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00425793	B. Babu Rao	Non-Executive - Nominee Director	Chairperson	19-01-2016		
2	07508488	Rajesh Dahiya	Executive Director	Member	12-10-2016		
3	02255828	S. Vishvanathan	Non-Executive - Independent Director	Member	20-10-2018		
4							
5							
6							
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Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson				Yes			
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07367868	Ketaki Bhagwati	Non-Executive - Independent Director	Chairperson	19-01-2016		
2	00531120	Amitabh Chaudhry	Executive Director	Member	01-01-2019		
3	02968574	Rohit Bhaagt	Non-Executive - Independent Director	Member	21-08-2014		
4	02172725	Girsh Paranjape	Non-Executive - Independent Director	Member	25-08-2020		
5	07130908	Gopalaraman Padmanabhan	Non-Executive - Independent Director	Member	14-12-2020		
6							
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson				Yes			
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00117692	Rakesh Mahija	Non-Executive - Independent Director	Chairperson	16-09-2019		
2	07508488	Rajesh Dahiya	Executive Director	Member	12-10-2016		
3	02541753	Rajiv Anand	Executive Director	Member	24-10-2016		
4	06453310	Tharavanat Chandrasekharan Suse	Non-Executive - Nominee Director	Member	14-12-2020		
5							
6							
7							
8							
9							
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Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
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Disclosure of notes on meeting of board of directors explanatory

[Add Notes](#)

Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
	Add Delete					
1	02-07-2020			Yes	12	5
2	21-07-2020	18		Yes	12	5
3	28-10-2020	98		Yes	13	7

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* to be filled in only for the current quarter meetings

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IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory

[Add Notes](#)

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
	Add Delete							
1	Audit Committee	21-07-2020				Yes	4	3
2	Audit Committee	27-08-2020				Yes	4	3
3	Audit Committee	23-09-2020				Yes	4	3
4	Audit Committee	28-10-2020	34			Yes	4	3
5	Audit Committee	25-11-2020	27			Yes	4	3
6	Audit Committee	21-12-2020	25			Yes	4	3
7	Corporate Social Responsibility Committee	21-09-2020				Yes	3	1
8	Corporate Social Responsibility Committee	10-12-2020				Yes	3	1
9	Nomination and remuneration committee	20-07-2020				Yes	3	2
10	Nomination and remuneration committee	24-09-2020				Yes	4	4
11	Nomination and remuneration committee	26-10-2020				Yes	5	4
12	Risk Management Committee	17-07-2020				Yes	3	2
13	Risk Management Committee	23-10-2020				Yes	4	3
14	Risk Management Committee	15-12-2020				Yes	5	4
15	Stakeholders Relationship Committee	20-10-2020				Yes	3	1

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* to be filled in only for the current quarter meetings

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Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
Disclosure of notes on related party transactions			Add Notes
Disclosure of notes of material transaction with related party			Add Notes

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VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Add Notes

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Sr	Subject	Compliance status
1	Name of signatory	Girish V. Koliyote
2	Designation	Company Secretary and Compliance Officer

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Signatory Details

Name of signatory	Girish V. Koliyote
Designation of person	Company Secretary and Compliance Officer
Place	Mumbai
Date	08-01-2021

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